Financial Health Check Questionnaire

Each account holder should fill in one questionnaire.

Name _____ **Master Account No.** _____

Telephone No. _____

Email _____ **Occupation** _____

☐ I/We do not wish to provide my/our personal information for completion of the Financial Health Check Questionnaire. I/We will make all of my/our present and future investment decisions based on my/our own judgement and such independent advice as I/we consider appropriate. I/we acknowledge that JPMorgan Funds (Asia) Limited has explained to me/us clearly the purpose of this questionnaire, which I/we understand would help me/us to understand and assess my/our risk tolerance ability towards investment in a given list of JF/JPM funds and the investment choices that would be suitable for me/us.

1. When was the last time you completed the Financial Health Check Questionnaire?
   ☐ Never ☐ Within last 12 months ☐ Within last 13 - 24 months ☐ More than 24 months ago

2. What is your age?
   ☐ 18 - 30 ☐ 31 - 40 ☐ 41 - 50 ☐ 51 - 55 ☐ 56 - 60 ☐ 61 - 64 ☐ 65 or above

3. What is your investment objective? (Please select multiple options if applicable)
   ☐ Capital Preservation ☐ Wealth Building ☐ Retirement Planning ☐ Children's Education ☐ Other _____

4. Have you had experience in investing in any of the following financial instruments? (Please select multiple options if applicable)
   ☐ Bonds ☐ Investment Funds ☐ Stocks ☐ Forex ☐ Structured Products ☐ Derivatives

5. How many years of investment experience do you have?
   ☐ Nil ☐ Less than 1 year ☐ 1 year to 3 years ☐ 3 years to 5 years ☐ 5 years to 10 years ☐ More than 10 years

6. Including this proposed subscription, what percentage of your total investable assets (excluding property) are invested in JF/JPM Funds?
   ☐ 20% or below ☐ 21% - 40% ☐ 41% - 60% ☐ 61% - 80% ☐ Over 80%

7. In the next 12 months, how much might you invest in JF/JPM Funds?
   ☐ HK$100,000 or below ☐ HK$100,001 - 250,000 ☐ HK$250,001 - 500,000
   ☐ HK$500,001 - 1,000,000 ☐ HK$1,000,001 - 2,000,000 ☐ Over HK$2,000,000

8. What is your investment horizon?
   ☐ Below 6 months ☐ 6 months to 1 year ☐ 1 year to 2 years
   ☐ 2 years to 3 years ☐ 3 years to 5 years ☐ More than 5 years

9. What level of average annual fluctuation in investment value would you generally be comfortable with?
   ☐ Fluctuates between -5% and +5% ☐ Fluctuates between -15% and +15%
   ☐ Fluctuates between -30% and +30% ☐ Fluctuates <-30% and >+30%

10. Which of the following statements most closely fits your attitude towards risk?
    ☐ I am very risk averse and do not want to see the value of my investments drop.
    ☐ I want to preserve my capital but I do not mind accepting some small price fluctuation to enhance the potential return of my investments for a rate slightly better than bank deposits.
    ☐ I am a fairly cautious investor but am willing to assume some risk to enhance the potential return of my investments.
    ☐ I am a risk taker and am able to take a significant risk on investment in order to increase my potential for higher returns.

Thank you for taking the time to complete our questionnaire.

Client Signature _____ Date _____

The personal data which you have supplied or may supply will be used by us for providing financial services or any related services and other purposes related thereto, including direct marketing. You have the right to request access to and/or correction of such data by making your request to the Data Protection Officer, JPMorgan Funds (Asia) Limited, GPO Box 11448, Hong Kong.

CRR:
IA:
SLX: Y / N

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